

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

**(19) World Intellectual Property Organization  
International Bureau**



**(43) International Publication Date**  
**13 March 2003 (13.03.2003)**

**PCT**

**(10) International Publication Number**  
**WO 03/020143 A1**

**(51) International Patent Classification<sup>7</sup>:** A61B 17/70,  
A61F 2/44

**SINHA, Amit**; 644 Drexel Avenue, Drexel Hill, PA 19026 (US). **WALTHER, Martin**; 300 Anglesey Terrace, West Chester, PA 19380 (US).

**(21) International Application Number:** PCT/US02/27359

(74) Agents: **POISSANT, Brian, M.** et al.; Pennie & Edmonds LLP, 1155 Avenue of the Americas, New York, NY 10036 (US).

**(22) International Filing Date:** 26 August 2002 (26.08.2002)

(25) Filing Language: English

**(81) Designated State (national):** CA.

**(26) Publication Language:** English

**(84) Designated States (regional):** European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR).

(30) Priority Data:  
09/942,333 29 August 2001 (29.08.2001) US

(71) Applicant: **SYNTHES (U.S.A.)** [US/US]; 1690 Russell Road, Paoli, PA 19301 (US).

**Published:**

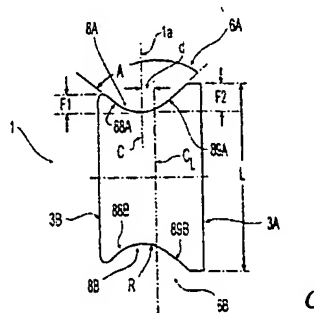
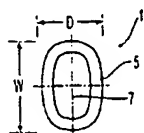
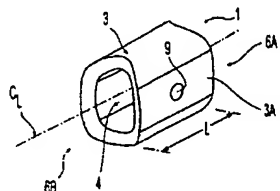
— with international search report

— before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

(72) **Inventors:** ANGELUCCI, Christopher, M.; 809 Summit Avenue, Schwenksville, PA 19473 (US). BOYER, Michael, L., II; 1708 E. Lancaster Avenue, Paoli, PA 19301 (US). PAUL, David, C.; 405 Greene Lane, Phoenixville, PA 19460 (US). RYAN, Christopher, J.; 2500 Hillcrest Road, Drexel Hill, PA 19026 (US).

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

**(54) Title:** BILATERAL LAMINOPLASTY IMPLANTS



**(57) Abstract:** Implants (40, 62) for maintaining a distance between cut spinal bones (71, 72) are disclosed. The implants (40, 62) are made of metal, polymer or bone allograft, and have ends (46A, 46B, 63A, 63B) angled with respect to each other to conform to the cut bone ends (71, 72). The implants (1, 62) have hollow regions (49, 70) for packing osteogenic material. The implant ends (46A, 46B, 63A, 63B) have surface projections (56) to reduce slippage. Implants made of bone allograft also have ends made of demineralized bone to speed fusion between spine (71, 72) and implant (40, 62). Methods of using the implants are also disclosed.

**BILATERAL LAMINOPLASTY IMPLANTS****TECHNICAL FIELD**

5           The present invention relates to a medical implant and method, and, more particularly, to an improved surgical implant and method for expanding the spinal canal to eliminate pressure on the spinal cord caused by an impinging vertebral bone.

10                                   **BACKGROUND OF THE INVENTION**

          Various medical conditions may result in a reduction of the area within the vertebrae available for the spinal cord. Spinal stenosis is one such condition involving the narrowing of the canal in the center of the spine through which the  
15   spinal cord and nerve roots run. Spinal stenosis may result when the ligaments of the spine thicken and calcify (harden from deposits of calcium salts), or when bones and joints enlarge, and osteophytes (bone spurs) form. A herniated (bulging) disk may also place pressure on the spinal cord or nerve root. Furthermore, diseased bone or tumors may result in an ingrowth into the spinal cord area. This decreases  
20   the space (neural foramen) available for nerve roots leaving the spinal cord.

          Two surgical methods currently exist to create additional room in the spinal canal. The first is called a laminectomy, and involves removal of the lamina (roof) of one or more vertebrae. A limitation of the laminectomy procedure is that it  
25   involves removal of the supporting structures at the back of the vertebrae which align the spinal column. The result may be that a patient suffers some postural deformity. To prevent such postural problems, a graft may be installed between the ends of the removed bone to span the void and reinstate the necessary support. The second procedure is called a laminoplasty, in which the targeted vertebra is cut, spread apart and a graft is inserted to permanently enlarge the space. Unlike the  
30   laminectomy, typically no bone material is excised during the laminoplasty procedure. Two different laminoplasty procedures are currently used. The first is called the unilateral or "open door" laminoplasty in which one side (lamina) of the vertebra is cut all the way through, while the other side is cut only half way to  
35   create a hinge. The vertebral element is then rotated about the hinge, and the graft is inserted into the opening, increasing the opening of the spinal canal. The second

procedure is called the bilateral or "French door" laminoplasty in which the midline of the vertebra (spinous process) is cut all the way through, and the lamina are cut half way through, creating two hinges. The vertebral element is then opened at the bisected spinous process, and a graft inserted into the opening, again increasing the opening of the spinal canal.

Various materials may be used for the grafts installed during laminoplasty procedures. U.S. Patent Nos. 6,080,157 to Cathro et al. and U.S. Patent No. 5,980,572 to Kim et al. disclose the use of titanium, ceramic and nylon inserts.

Further, using allografts taken from long bones such as the femur, humerus, tibia and fibula, for spinal fusion procedures is known, as disclosed by U.S. Patent No. 5,728,159 to Stroeve et al. Allografts, as such bone grafts are called, are removed from a donor and processed using known techniques to preserve the allograft until implantation. Allografts have mechanical properties which are similar to the mechanical properties of vertebrae even after processing. The benefit of such property matching is that it prevents stress shielding that occurs with metallic implants. Allografts, unlike magnetic metals, are also compatible with magnetic resonance imaging (MRI) procedures, allowing more accurate ascertainment of fusion. Furthermore, allografts are naturally osteogenic providing excellent long term fusion with the patient's own bone.

Several different spacer designs have been used in laminoplasty procedures to the present. For example, the Cathro patent discloses a metal, nylon or teflon spacer for use in a unilateral laminoplasty procedure. The Cathro spacer is a rectangular plate having shouldered edges which engage the ends of the cut lamina, and is held in place by a spring mechanism. The difficulty with the Cathro spacer is that its operation relies on the continued satisfactory operation of the installed spring. Further, the Cathro device provides little available area for the packing of fusion enhancing (i.e. osteogenic) material. The Kim patent discloses a spacer for use in a bilateral laminoplasty procedure. The Kim spacer consists of inner and outer trapezoidal segments joined together by a rectangular segment. The tapered surface of the inner trapezoidal segment is designed to conform to the inner surface of the split spinous process halves, while the taper of the outer segment is designed to assume the shape of the removed spinous process tip. The Kim spacer seats on the resulting flat surface of bone. Like the Cathro device, the Kim device provides little area in which to pack osteogenic material to facilitate bone-implant fusion.

Neither the Cathro nor Kim device use allograft as a spacer material, which may result in reduced propensity for fusion and the possibility for stress shielding.

Accordingly, there is a need in the art to provide implants and methods for both laminectomy and unilateral and bilateral laminoplasty procedures, which provide excellent dimensional, strength and retention capability, which enhance fusion with the patient's own bone, which are easy to select, fit and install and which provide excellent compatibility with post-operative imaging (MRI).

## SUMMARY OF THE INVENTION

The present invention provides implants for use in the spinal column. In one embodiment, the implants have a body portion having a length and configured to be insertable between first and second bone segments. The body has have an outer surface, and an inner surface defining a substantially hollow portion. The implants further have an inner side region having an inner side length, an outer side region having an outer side length, and first and second ends which communicate with the hollow portion. The first and second ends may comprise bone engaging portions. At least one of the bone engaging portions may comprise surface projections to reduce slippage between the bone engaging faces and the respective bone segment. The bone engaging portions may also be angled with respect to each other.

The implant inner side region comprises an angle with each of the bone engaging surfaces, and this angle may range from 50 to 70 degrees. Further, the inner side length of the implant may range from 6 to 10 millimeters. The perimeter of the outer surface of the implant may comprise a substantially geometric shape such as an ellipse or circle. An implant outer surface perimeter comprising an ellipse may have a width ranging from 10 to 11.5 millimeters (mm) and a depth ranging from 6.5 to 7.5 mm.

The implant may have bone engaging surfaces comprising surface projections to reduce slippage between the bone engaging portions and the respective bone segments. These surface projections may comprise saw tooth ridges, or they may be individual pyramidal teeth.

The implant bone engaging portions may comprise channels configured to accept the arms of a pair of distractor pliers. The implant body may have at least one hollow suture attachment portion to enable a surgeon to secure the implant to at least one of the first and second bone segments. The implant may  
5 be formed of a biocompatible metal or polymer, or bone allograft material. Where the implant is an allograft, at least one of the bone engaging portions may be comprised of partially, substantially, or fully demineralized bone. The inner surface of the allograft implant may be defined by the intermedullary canal, or the inner surface may be configured so that the volume of the hollow portion is greater than  
10 the intermedullary canal.

In a different embodiment, the implant has a body portion formed of bone allograft and have an inner side region comprising an inner side length and configured to be insertable between first and second bone segments. The body may  
15 also have first and second ends, where at least one of the ends comprises a bone engaging portion to engage at least one of the bone segments. Either one or both bone segments may be comprised of a partially, substantially, or fully demineralized bone. The implant body portion may further have a wall with an outer surface, and an inner surface defining a substantially hollow portion, where the hollow portion is  
20 in communication with the first and second ends. The hollow portion may be defined by the intermedullary canal of the donor bone, or the inner surface may be configured so that the volume of the hollow portion is greater than the intermedullary canal of the donor bone. At least one of the first and second bone engaging portions may have surface projections to retain the implant between the  
25 bone segments. The surface projections may be saw tooth ridges or individual pyramidal teeth.

The implant inner side region forms an angle between each of the bone engaging portions, and this angle may range from 50 to 70 degrees. The inner  
30 side length may range from 6 to 10 mm.

In a further embodiment, an implant for use in the spinal column has a body portion formed of allograft bone material having an inner side region with an inner side length, and configured to be insertable between first and second segments  
35 of spine. The body may have first and second ends, where at least one end has a bone engaging portion to engage at least one of the bone segments. The bone

engaging portion further comprises an outer shell portion comprised of cortical bone substantially surrounding a center region comprised of cancellous bone. The implant may have surface projections on at least one of the bone engaging portions to reduce slippage between the bone engaging portions and the respective bone segment. These surface projections may be saw tooth ridges, or they may be individual pyramidal teeth. At least one of the bone engagement portions may comprised of partially, substantially, or fully demineralized bone.

The implant inner side region forms an angle with each of the bone engagement portions, and this angle may range from 50-70 degrees. The inner side length may range from 6 to 10 mm. The implant width may range from 10 to 11.5 mm and the implant depth may range from 6.5 to 7.5 mm.

A further implant for use in the spinal column is provided, having first and second plates connected by an intermediate portion whose thickness is smaller than the height of the first and second plates. The plates each have a bone engaging portion for engaging the first and second bone segments produced during a laminoplasty. The first and second bone engaging portions are angled with respect to each other. The implant is configured to be insertable between first and second bone segments produced during a laminoplasty procedure. The implant may be configured so that the first and second plates and the intermediate portion form a substantially U-shape. The implant may be comprised of a biocompatible metal or polymer, or cortical bone allograft material. Where the implant is comprised of allograft material; at least one of the bone engaging portions may be comprised of partially, substantially, or fully demineralized bone.

The implant bone engaging portions may have surface projections to reduce slippage between the bone engaging portions and the bone ends. The surface projections may be saw tooth ridges or individual pyramidal teeth.

30

A method for providing a desired space in the spinal canal is also provided, comprising the steps of: cutting at least one segment of a vertebra all the way through to produce first and second cut bone ends; cutting at least one lamina partially to create a hinge; providing an implant having a body portion comprising a length and a longitudinal axis, the body portion having first and second ends and the first and second ends comprising bone engaging portions, where the implant is

35

formed of a bone allograft material, and at least one of the bone engaging portions is comprised of partially, substantially, or fully demineralized bone; separating the first and second cut bone ends a sufficient distance to accept the implant; positioning the implant between the first and second cut bone ends; and contacting at least a portion of each of the first and second cut bone ends with the bone engaging portions. In an additional embodiment the step of cutting may comprise bisecting a spinous process. In yet a further embodiment, the method may further include the step of cutting a second lamina of the vertebra partially to create a second hinge.

10

A method for providing a desired distance between first and second cut bone ends of the spine is also provided, comprising the steps of: cutting at least one segment of a vertebra to produce first and second cut bone ends; providing an implant having a body portion formed of bone allograft material with first and second ends; the first and second ends having bone engaging portions, the bone engaging portions each having an outer shell portion and a central region, the outer shell portion substantially surrounding the central region, wherein the outer shell portion is cortical bone and the center region is cancellous bone; separating the bone ends enough to accept the implant; placing the implant between the bone ends; and positioning the implant so as to contact at least a portion of each of the first and second cut bone ends with the bone engaging portions. In an additional embodiment, the method may also include the step of providing an implant having bone engaging portions comprising surface projections to reduce slippage between the bone engaging portions and the bone ends. These surface projections may comprise saw tooth ridges or they may comprise individual pyramidal teeth. In yet another embodiment, the step of cutting comprises bisecting the spinous process. In an additional embodiment, the cutting step may further comprise partially cutting both laminae adjacent to the spinous process.

20  
25  
30

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

The features and advantages of the implant and method of use will become more readily apparent from the following detailed description of the invention in which like elements are labeled similarly and in which:

35

FIGS. 1A, 1B and 1C are perspective, end and top views of the first embodiment of the implant, for use in a unilateral laminoplasty procedure;

FIGS. 2A and 2B are side and top views of the implant of FIG. 1 installed between the cut lamina segments of a vertebra during a unilateral laminoplasty procedure;

FIGS. 3A and 3B are a perspective view of a retaining plate of the present invention, and a side view of two such retaining plates installed over the implants of FIGS. 2A and 2B;

FIGS. 4A and 4B are perspective and side views of a second embodiment of the implant, a unilateral implant incorporating demineralized bone flaps;

FIGS. 5A, 5B and 5C are perspective, side and end views of a third embodiment of the implant, for use in a bilateral laminoplasty procedure;

FIGS. 6A and 6B are side and section views of the implant of FIG. 5 showing the incorporation of a channel to accept the corresponding arms of a set of distractor pliers used to install the implant;

FIG. 7 is a detail view of the end of the implant of FIG. 5B showing a preferred embodiment of the surface projections used to facilitate retention of the implant between cut spinous process segments.

FIGS. 8A, 8B and 8C are perspective, end and side views of a fourth embodiment of the implant, for use in a bilateral laminoplasty procedure;

FIG. 9A and 9B are front and top views of the implants of FIGS. 7 and 8 installed between the cut spinous process segments of a vertebra during a bilateral laminoplasty procedure;

FIGS. 10A, 10B and 10C are perspective, end and top views of a fifth embodiment of the implant, for use in a unilateral laminoplasty procedure;

FIGS. 11A, 11B and 11C are top, side and end views of a sixth embodiment of the implant, for use in a unilateral laminoplasty procedure; and

FIGS. 12A and 12B are perspective views of seventh and eighth embodiments of the implant, for use in unilateral laminoplasty procedures.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Preferred embodiments, features and aspects of an implant adapted to be used in unilateral and bilateral laminoplasty procedures are described, in which a portion of a targeted vertebra is cut, the space available for the spinal cord and



associated nerves is expanded, and an implant is installed between the cut segments of bone.

Referring more particularly to the drawings, FIGS. 1A, 1B and 1C show an implant for use in a unilateral or "open door" laminoplasty. The implant 1 has a longitudinal axis "CL," a length "L," a wall 5 defining an outside surface 3 and an inside surface 4, and first and second ends 6A, 6B. Inside surface 4 communicates with first and second ends 6A, 6B to define a hollow central region 7 of the implant. Outside surface 3 has an outer side region 3A and an inner side region 3B such that when the implant is installed between cut segments of lamina, outer side region 3A faces outward away from the spinal canal, while inner side region 3B faces inward toward the spinal canal. The implant 1 further has a depth "D" which is the distance between outer side region 3A and inner side region 3B. Implant 1 also has a width "W" which is the distance between opposing outer surfaces 3 measured along a drawn line perpendicular to a line defining the depth "D." Length "L" preferably should be between about 11.5 millimeters (mm) to about 15.5 mm; depth "D" preferably should be between about 5.5 mm to about 6.5 mm; and width "W" preferably should be between about 8.0 mm to about 9.5 mm.

The shape and size of outside surface 3 is not critical and, therefore, any implant configuration can be used preferably so long as the first and second ends 6A, 6B provide sufficient contact area with the lamina ends, and the implant 1 does not interfere with other anatomy, and does not intrude on the spinal cord space. In a preferred embodiment, however, the outside surface 3 is configured such that the shape of the implant, when viewed from the end, displays the form of a substantially geometric shape (e.g. ellipse, oval, circle, etc.). In this embodiment the exterior dimensions of the implant also approximate those of the outside surface of the cut lamina segments between which the implant is installed. Although implants having cross sections of greater or lesser proportion than the lamina to which they attach will function properly, for aesthetic purposes and in an attempt to minimize the amount of material introduced into a patient's body, the outer surface of the implant should preferably not extend beyond the outer surface of the adjoining bone.

In a further embodiment, the inside surface 4 of the implant 1 may be machined so that the hollow central region 7 approximates the configuration and

geometry of the implant exterior (*i.e.* form an ellipse or oval shape). The hollow central region may be designed to be packed with osteogenic material such as bone chips, etc. to facilitate fusion of the implant with the patient's lamina. Preferably, the central region may be as large as possible to enhance fusion of the implant to the patient's lamina. The thickness of wall 45 preferably should be between about 1.00 to about 1.50 mm; more preferably about 1.25 mm. Preferably the thickness of wall 5 should not be less than about 1.0 mm to ensure the implant retains sufficient strength to withstand the stresses imparted on the spine.

10           The implant 1 may be fabricated from a biocompatible metal (*e.g.* stainless steel, or titanium, etc.) or polymer, or from allograft material preferably taken from a long bone (*e.g.* femur, tibia, fibula, humerus). Where the implant is an allograft, the inside surface 4 and hollow central region 7 may be defined by the intermedullary canal of the donor bone. The hollow center may be left as such, or  
15   the inner surface 4 may be machined, as with other implant materials, to maximize the space available for packing with osteogenic material. Again, the thickness of the implant wall 5, preferably is not reduced to less than about 1.00 mm.

          During the unilateral laminoplasty procedure, the targeted lamina is  
20   cut in half and the segment attached to the spinous process is rotated or swung out to increase the area available for the spinal cord and associated nerves. Subsequent to this rotation, the lamina segments no longer reside along the same axis, but instead the ends are disposed at an angle with respect to each other. Implant 1 is substantially straight along its length, and so to accommodate this angular  
25   displacement of the lamina, first and second ends 6A, 6B incorporate arcuate cutouts 8A, 8B to grasp and retain the cut lamina segments. Viewed from the top of the implant (FIG. 1C), these arcuate cutouts 8A, 8B are generally concave and may be circular in shape, or they may consist of a cutout spanning an obtuse angle and converging to a small radius at the crotch of the first and second ends 6A, 6B.  
30   Arcuate cutouts 8A, 8B have a centerline 1a which runs parallel to the longitudinal axis of the implant 1. The centerline 1a of the arcuate cutouts may be coexistent with the longitudinal axis of the implant 1, or it may be offset with respect to that axis to further improve retention of the cut and displaced lamina ends. In a further embodiment, the centerlines 1a of the arcuate cutouts may each be offset on an  
35   opposite side of the implant centerline to facilitate retention of the implant in cases where the angle between the cut and spread lamina is more severe, such as when

the surgeon spreads the lamina segments as wide as possible to provide maximum additional space for the spinal cord and associated nerves.

In the preferred embodiment, shown in FIG. 1C, each arcuate cutout 8A, 8B  
5 comprises first angled faces 88A, 89A and second angled faces 88B, 89B,  
respectively, which meet at crotch "C" to form a face angle "A." Preferably, face  
angle A is about 100 degrees. Crotch radius "R," comprises the transition between  
the first and second angled faces. Crotch radius "R" is preferably about 2 mm. Each  
arcuate cutout further comprises first and second face depths "F1" and "F2." The  
10 first and second face depths are a measure of the depth of the crotch relative to the  
inner side region 3B and outer side region 3A of the implant, and will be different  
lengths whenever the centerline 1a of the arcuate cutout is offset from the centerline  
"CL" of the implant 1. Preferably first face depth "F1" is about 1.25 mm, and second  
face depth "F2" is about 1.5 mm. Each arcuate cutout 8A, 8B also has a centerline  
15 offset "d," which is the degree to which the arcuate cutout 8A, 8B is shifted from the  
centerline "CL" of the implant 1. Preferably, the centerline offset "d" is from about 0  
to 2.5 mm toward the inner side region 3B of implant 1. The face depth "F1" of the  
first and 6A of the implant 1 may be the same or different than the face depth "F1"  
of the second end 6B. Likewise, the face depth "F2" of the first end 6A may be the  
20 same or different than the face depth "F1" of the second end 6A.

In a further embodiment of the implant comprising allograft material,  
first and second ends 8A, 8B may comprise regions of demineralized cortical bone to  
further facilitate fusion of the implant to the lamina. Preferably the demineralized  
25 bone portion comprises the entire surface of each first and second end 6A, 6B of the  
implant 1. Preferably, the depth of the demineralized portion will be up to about 2  
mm.

The implants further may incorporate at least one suture hole 9 in the  
30 implant wall 5 to allow the surgeon the option of suturing the implant to the cut  
lamina ends. These suture holes 9 may vary in number and size, with the only  
limitation being that they should not be so large or numerous as to compromise the  
strength or integrity of the implant.

35 FIGS. 2A and 2B are side and top views of the implant of FIG. 1  
installed in a patient between the cut lamina ends in a unilateral laminoplasty

procedure. In FIG. 2A two different sized implants 1 are installed on the cut lamina segments 10 of adjacent vertebrae, to illustrate application of the implant design to bones of different size. FIG. 2B shows the interaction between the implant and the cut vertebra segments 10.

5

The design of the bone engaging ends 6A, 6B of the implants 1 are sufficient to ensure retention of the implants 1 between the cut ends of lamina 10. Some surgeons, however, desire an additional measure of assurance that the implants 1 will not loosen or otherwise be expelled from between the lamina ends 10. The implant, therefore, provides for the optional installation of a plate 12 to be secured over an installed implant in a unilateral laminoplasty procedure. FIG. 3A is a perspective view of a plate 12 which may be installed to secure the implant 1 of FIGS. 1 & 2, to ensure the implant 1 is not expelled from the cut lamina ends 10. Plate 12 has a length 13, a thickness 14 and a body portion 15 with first and second ends 16A, 16B comprising bone engaging portions 17 and implant engaging portions 18. As shown in FIG. 3A the bone engaging portions 17 and implant engaging portions 18 may consist of the holes adapted for receiving bone screws 19 or hooks 20 (not shown) capable of grasping bone screws installed in the lamina and/or implant. Each side of plate 12 may have one or more bone engaging portions 19 and one or more implant engaging portions 18. In a further embodiment the plate 12 may be flexible to allow the surgeon to form it to the individual contour of the patient's spine, thereby achieving a tight fit between components. The plates may be fabricated from a biocompatible metal or other material known in the art that would be suitable for long term retention of an implant 1.

25

Instead of a single plate 12, smaller plates without connecting body portion 15 may be utilized, each plate comprising at least one bone engaging portion 17 and one implant engaging portion 18.

30

FIG. 3B is a side view of the implants 1 installed in FIG. 2A, further showing the installation of optional plates 12 of FIG. 3A. Bone screws 19 are installed to secure the plates 12 to both the respective opposing lamina segment 10, and the implant. In this embodiment, bone screws are also installed in the screw holes 18 of the implant engaging portion, to secure the plates to the implants 1.

35

Also in this embodiment, the plates are flexible and are bent to assume the varying

contour of the lamina segments and the implant. More than one optional plate may be used to secure the implant to the lamina.

FIGS. 4A and 4B show perspective and side views of an allograft  
5 implant 30 which incorporates the design features of the implants of FIG. 1, but  
which further includes a pair of bone flaps 31A, 31B disposed at first and second  
ends 32A, 32B of the implant 30. These bone flaps are used to secure the implant  
30 to the respective cut ends of lamina in a unilateral laminoplasty procedure. At  
least a portion of each flap comprises demineralized bone. Demineralization of the  
10 flaps, but not the implant, provides the implant with flexible attachment points  
which may be contoured to conform to the shape of the adjacent lamina. Bone flaps  
31A, 31B comprise thin, flat, rectangular segments of allograft having an outer  
surface 34 and a bone engaging surface 35. The outer surfaces 34 of the flaps  
preferably are the same width as, are contiguous with, and extend axially like wings  
15 from the outer surface 36 of the implant 30. In a preferred embodiment, bone flaps  
31A, 31B are machined from the same segment of donor bone as implant 30. At  
least a portion of flaps 31A, 31B may be demineralized using any commercially  
acceptable process (e.g. hydrochloric acid bath, etc.) that will render the resulting  
flaps flexible. Flaps 31A, B are provided with holes 36A, 36B suitable for receiving  
20 bone screws 37A, 37B which are used to secure the bone flaps 31A, 31B and implant  
30 to the adjacent cut lamina ends.

In another embodiment, these bone flaps may not be demineralized,  
but instead each bone flap may comprise a notch 131A, 131B in the respective  
25 region where the bone flaps 31A, 31B connect to the implant 30. Notches 131A,  
131B may be any type of notch or reduction in the thickness of the bone flap  
appropriate to provide flexibility for placing the flaps on the adjacent laminae  
surfaces, while retaining the requisite strength to ensure the bone flaps will not  
separate from the implant during installation.

30

FIGS. 5A, 5B and 5C show an embodiment of an implant for use in a bilateral  
or "french door" laminoplasty procedure, in which the spinous process of a targeted  
vertebra is bisected along the sagittal plane and the segments separated to enlarge  
the spinal canal. The implant 40 has a wall 45 having an inside surface 47 and an  
35 outside surface 48, and first and second ends 46A, 46B. The outside surface 48 has  
an outer side region 41 having an outer side length 42 and an inner side region 43

having an inner side length 44. Inside surface 47 communicates with first and second ends 46A & 46B to define a hollow central region 49 of the implant. The implant 40 has a generally trapezoidal shape when viewed from the side (FIG. 5B), and inner side region 43 forms angle "TA" with respect to the first and second ends 46A, 46B. This trapezoidal configuration allows the implant first and second ends 46A, 46B to conform to the cut, angled surfaces of the spinous process segments to which the implant will eventually fuse. Inner side length 44 preferably is from between about 6.0 mm to about 10 mm, and angle "TA" preferably is from between about 50 to about 70 degrees. The implant 40 further has a width "W" which is the distance between the outer side region 41 and the inner side region 43. Implant 40 also has a depth "D" which is the distance between opposing outside surfaces 48 measured along a line drawn perpendicular to a line defining the width "W." Width "W" preferably should be between about 10 mm to about 11.5 mm; and depth "D" preferably should be between about 6.5 mm to about 7.5 mm.

The shape and size of outside surface 48 is not critical and, therefore, any implant external configuration can be used preferably so long as first and second ends 46A, 46B provide sufficient contact area with the cut spinous process segments, does not project out from between the bone segments so far as to interfere with other anatomy, and does not intrude on the spinal cord space. For aesthetic purposes and in an attempt to minimize the amount of new material introduced into a patient, however, the outside surface 41 of the implant 40 should preferably not extend beyond the outside surface of the cut spinous process segments. In a preferred embodiment the outside surface 41 of the implant 40 is configured such that the outside surface 41, when viewed from the end, displays the form of a substantially geometric shape (e.g. ellipse, oval, circle, etc.) (FIG. 5C).

In a further embodiment, the inside surface 43 of the implant 40 may be machined so that the hollow central region 49 approximates the configuration and geometry of the implant outside surface 41 (i.e. an ellipse or oval). The hollow central area is designed to be packed with osteogenic material such as bone chips, etc. to facilitate fusion of the implant with the patient's cut spinous process segments. Preferably, this center area may be made as large as possible to facilitate the fusion process.

The thickness of wall 45 preferably should be from between about 1.00 to about 1.50 mm; more preferably about 1.25 mm. Preferably the thickness of wall 45 should not be less than about 1.0 mm to ensure the implant retains sufficient strength to withstand the stresses imparted on the spine associated with daily living.

The implant 40 may be fabricated from a biocompatible metal (e.g. stainless steel, or titanium, etc.) or polymer, or from allograft material preferably taken from a long bone (e.g. femur, tibia, fibula, humerus). Where the implant is fabricated from metal or polymer, it may be provided in a solid form. Preferably, however, the implant should incorporate a hollow region, and the inside surface 44, should be formed to maximize the space available for packing with osteogenic material while maintaining adequate wall thickness. Where the implant is an allograft, the inside surface 44 and hollow center 49 may be defined by the intermedullary canal of the donor bone. The allograft may be left in this state, and the hollow central region 49 packed with osteogenic material. Preferably, however, the inside surface 44 of the allograft will be machined and the hollow central region 49 enlarged to maximize the space available for packing with osteogenic material.

FIGS. 6A and 6B show first and second ends 46A, 46B of implant 40 each incorporating a channel 50 to accept the corresponding arms of a set of distractor pliers (not shown) which may be used to separate the bisected spinous process segments during the bilateral laminoplasty procedure. Each channel 50 has two sidewalls 51 each having a depth "CD", a bottom surface 52 having a width "CW" and a centerline 54 which is formed by a line extending along the implant 40 from inner side region 43 to outer side region 41. Preferably, each channel 50 may incorporate a radiused transition 55 between the sidewalls 51 and the bottom surface 52. In a further preferred embodiment, the channel runs from the inner side region 43 to the outer side region 41 of each end 46A, 46B of the implant. The specific dimensions of the channels is not critical, but should be configured to accept the distractor arms used during the distraction and insertion portion of the procedure. Preferably, the channel bottom surface width "CW" is about 4 mm, and the sidewall depth "CD" is about 1 mm.

FIG. 7 shows a further embodiment of bilateral laminoplasty implant 40, in which first and second ends 46A, 46B comprise surface projections to improve

pre-fusion retention of the implant 40 between respective cut spinous process segments. In a preferred embodiment, a plurality of saw-tooth serrations 56 having a height 58 and a tooth angle 59 are provided. Preferably the serrations are oriented to run vertically when the implant 40 is installed in the patient. Height 58 and tooth angle 59 are defined with respect to the respective planes formed by implant first and second ends 46A, 46B. Height 58 is measured from the trough 60 of each serration, while tooth angle is measured from the plane formed by the implant first and second ends 46A, 46B. Preferably, height 58 is about 0.5 mm, tooth angle 59 is about 45 degrees, and the distance between troughs 60 is about 1.2 mm. While these dimensions and profile are preferred, other suitable surface profiles (e.g. pyramidal teeth, etc.) may be used to ensure implant retention.

In a further embodiment of the implant 40 comprising allograft material, first and second ends 46A, 46B may comprise regions of partially, substantially, or fully demineralized cortical bone to further facilitate fusion of the implant to the lamina. Preferably the demineralized bone portion may comprise the entire surface of each first and second ends 46A, 46B of the implant 40. Preferably the depth of the demineralized portion of will be up to about 2 mm.

The implant 40 may also incorporate a plurality of sutures holes 61 (see FIG. 5C) formed through the implant wall 45 to allow the surgeon to secure the implant to the cut spinous process segments. These suture holes 61 may vary in number, size and position, with the only limitation being that their size, position and number preferably should not compromise the strength and integrity of the implant.

FIGS. 8A, 8B and 8C show a further embodiment of an implant for use in a bilateral laminoplasty procedure. Implant 62 has a first and second ends 63A, 63B, an inner side region 68, an outer side region 65, and sides 66 and 67. The implant 62, like the implant of FIG. 5, has a generally trapezoidal shape when viewed from the side (FIG. 8C). Again, this trapezoidal configuration allows the implant first and second ends 63A, 63B to conform to the cut, angled surfaces of the spinous process segments to which the implant will eventually fuse. As such, inner side 68 forms angle "IA" with respect to the first and second ends 63A, 63B. In this embodiment, the implant 62 is an allograft, comprising "tri-cortical" bone taken from the crest of the ilium region of the pelvis. Harvesting bone from this segment of the pelvis provides an implant which naturally comprises a thin region 64 of



cortical bone on outer side 65, and sides 66 & 67. The inner side 68 of the implant, as well as the implant body portion 69 comprise cancellous bone. This combination of bone types allows the surgeon to exploit both the good strength characteristics of cortical bone, and the good osteogenic characteristics of cancellous bone in a single  
5 implant. In a further embodiment, the implant 62 comprises a cavity 70 which communicates with implant first and second ends 63A & 63B, and which may be used for packing osteogenic material to promote fusion between the implant and the cut spinous process segments.

10 In a preferred embodiment of the implant 62 of FIG. 8, the implant first and second ends 63A, 63B comprise surface projections to improve pre-fusion retention of the implant 62 between respective cut spinous process segments. Saw-tooth serrations, similar to those illustrated and described with regard to the implant of FIG. 5, may be provided. Again, other suitable surface profiles (e.g. pyramidal  
15 teeth, etc.) may also be provided to ensure implant retention.

In a further embodiment of the implant 62 comprising allograft material, first and second ends 63A, 63B may comprise regions of partially, substantially, or fully demineralized cortical bone to further facilitate fusion of the  
20 implant to the lamina. Preferably the demineralized bone portion may comprise the entire surface of each first and second ends 63A, 63B of the implant 62. Preferably, the depth of the demineralized portion of will be up to about 2 mm.

In another embodiment, the implant 62 may incorporate a plurality of  
25 sutures holes (not shown) similar to those shown in FIG. 5C, to allow the surgeon to secure the implant to the cut spinous process segments. These suture holes may vary in number, size and position, with the only limitation being that their number, size and position should not compromise the strength and integrity of the implant.

30 FIGS. 9A and 9B are front and top views of either trapezoidal implants 40, 62 of FIGS. 5, 8 installed in a patient. First and second ends 46A, 46B, 63A, 63B of implant 40, 62 contact cut spinous process segments 72 and 71 respectively. Hinge cuts 73 and 74 in lamina 75, 76 enable the spinous process segments to be "swung out" by the surgeon to facilitate insertion of the implant 40, 62  
35 therebetween.

FIGS. 10A, 10B and 10C show a further embodiment of an implant adapted for use in a unilateral laminoplasty procedure. Implant 77 comprises first and second plate portions 78A, 78B for connecting to the opposing segments of cut lamina produced during a unilateral laminoplasty procedure. First and second plate portions 78A, 78B are connected by an intermediate portion 80. The plate portions further comprise respective first and second bone engaging portions 79A, 79B which are configured to engage the opposing cut lamina segments. In a preferred embodiment, first and second bone engaging portions 79A, 79B comprise arcuate surfaces for engaging and cradling the respective cut lamina ends. Arcuate surfaces are particularly suited for this purpose because their concave shape can engage and retain lamina segments residing along different axes, a phenomenon which occurs during the unilateral laminoplasty procedure when a single lamina is cut and the resulting segments are swung out to enlarge the area available for the spinal cord. The swinging out process results in an angle being formed between the segments, and it is this misalignment which the arcuate surfaces of the bone engaging portions 79A & 79B accommodate.

In a further embodiment, the thickness of the intermediate portion 80 may be smaller than the height of the first and second plate portions 78A, 78B.

20

Implant 77 may be fabricated from any biocompatible metal (e.g. titanium, stainless steel, etc.) or polymer, or the implant may be formed of allograft material. If allograft is used, the implant 77 preferably should be fabricated from cortical bone.

25

In a further embodiment of the implant 77 comprising allograft material, first and second bone engaging portions 79A, 79B may comprise regions of partially, substantially, or fully demineralized bone to further facilitate fusion of the implant to the lamina segments. Preferably the demineralized bone portion may comprise the entire surface of each first and second bone engaging portions 79A, 79B. Preferably, the depth of the demineralized portion will be up to about 2 mm.

30

In another embodiment, the implant 77 may incorporate suture hole 80 to allow the surgeon to secure the implant to the cut spinous process segments. Additional suture holes (not shown) may be provided, and may vary in number, size

35

and position, with the only limitation being that their size, position and number preferably should not compromise the strength and integrity of the implant 77.

FIGS. 11A, 11B and 11C show a further embodiment of an implant adapted for use in a unilateral laminoplasty procedure. Implant 84 comprises a plate portion 85 having bone engaging portions 86A, 86B, a graft engaging portion 87, and an allograft 91. Bone engaging portions 86A, 86B further comprise a plurality of suture holes 88 configured to allow the surgeon to secure the cut lamina segments to bone engaging portions 86A, 86B. Graft engaging portion 87 comprises a graft seating surface 89 and a graft retaining portion 90 configured to retain a correspondingly shaped allograft 91 for engaging the opposing cut lamina segment. In a preferred embodiment, graft retaining portion 90 comprises two raised tabs 92A, 92B, each residing along at least a portion of opposing ends of graft seating surface 89. In a preferred embodiment, raised tabs 92A, 92B are angled slightly toward the center of graft seating surface 89 so as to facilitate retention of allograft 91. Preferably the angle "A" between raised tabs 92A, 92B and graft seating surface 89 will be from about 70 to about 80 degrees; more preferably this angle will be about 75 degrees. Plate portion 85 further comprises a bottom surface 855. When installed, graft 91 comprises the inner side surface of the implant (i.e. the surface which is closest to the spinal canal), while plate bottom surface 855 comprises the outer side surface of the implant (i.e. the surface which faces away from the spinal canal). In a preferred embodiment, bottom surface 855 comprises a convex shape which assumes the rounded contour of the lamina segments. Preferably, this convex surface has a radius of about 18 mm.

Plate portion 85 may be fabricated from any biocompatible metal (e.g. titanium, stainless steel, etc.) or polymer, or it may be made of allograft material. If allograft is used, the plate portion 85 may be fabricated from cortical bone. Graft 91 preferably may be comprised of a cancellous type bone material to facilitate fusion of the implant to the patient's lamina.

FIGS. 12A and 12B show implant embodiments comprising plates configured to attach directly to the opposing cut segments of lamina produced during a unilateral laminoplasty. These plates are further configured to capture segments of allograft and to engage these segments with the opposing cut segments of lamina to facilitate fusion between the implant and the patient's bone. Plate 93

comprises a body portion 94 having a longitudinal axis and first and second ends 95A, 95B, and a graft retaining portion 96, midway between the ends 95A, 95B, preferably approximately midway between ends 95A, 95B. First and second ends 95A, 95B each comprise a bone engaging portion 97. In a preferred embodiment  
5 the bone engaging portion at each first and second end comprises at least one hole suitable for receiving a bone screw 98 (not shown). The bone screws are then used to secure the plate 93 to each opposing segment of lamina. In a further embodiment the bone engaging portions may be hooks capable of grasping bone screws that are installed in the lamina segments.

10

In the embodiment shown in FIG. 12A, the graft retaining portion 96 comprises a plurality of deformable fingers 99 which are initially arrayed flat along an axis perpendicular to the longitudinal axis of the plate 93. These fingers 99 are capable of being deformed to cradle an allograft 100, preferably cylindrical in shape.  
15 Allograft 100 preferably has a length sufficient to engage the cut ends of lamina upon installation, and a diameter of size sufficient to be captured by the deformed fingers 99 of the plate 93.

In the embodiment of FIG. 12B, plate 93 has a graft retaining portion  
20 96 which comprises a hollow region 101, preferably rectangular in shape. A correspondingly configured allograft of cancellous bone is provided having a body 102 capable of being received within the hollow region 101, and further having shoulders 103 which extends beyond the hollow region to contact seating surface 104. In a preferred embodiment, shoulders 103 of allograft 100 are secured to plate  
25 93 using a bone screw 98 placed through bone engaging portion 97.

In a preferred embodiment the plate 93 may be flexible to allow the surgeon to form the body 94 to the individual contour of the patient's spine, thereby achieving a tight fit between components. The plate 93 may be fabricated from a  
30 biocompatible metal or other material known in the art that would be suitable for long term retention of an implant and graft.

The current invention also provides a method of using an allograft implant according to any of the embodiments shown in FIGS. 1A, 5A, 8A, 10A or  
35 11A which further has partially, substantially, or fully demineralized end segments to promote fusion between opposing segments of lamina or spinous process

produced during a unilateral or bilateral laminoplasty procedure. This method comprises the steps of cutting a targeted lamina or spinous process as required for either a unilateral or bilateral laminoplasty procedure, separating the resulting segments of bone a sufficient distance to allow for insertion of an appropriately sized allograft implant, providing an allograft implant having bone engaging surfaces which comprise partially, substantially, or fully demineralized cortical bone to a depth of up to about 2 mm, and contacting the allograft implant bone engaging surfaces with respective cut segments of lamina or spinous process. This method may be augmented, in the case of a unilateral laminoplasty, to include the additional step of installing a plate over the allograft implant to further assist retention of the implant between the bone segments. Where such a plate is provided, the additional steps of providing bone screws or other fasteners to attach the plate to the opposing segments of bone and/or to attach the plate to the implant, may further be included.

A further embodiment of the above method comprises providing an allograft implant according to the above method, which implant further has partially, substantially, or fully demineralized bone flaps capable of receiving bone screws. Providing such an implant allows the surgeon to affirmatively secure the implant to the cut lamina segments, preferably without the need for a separate plate.

A method of installing a tri-cortical allograft implant as part of a bilateral laminoplasty procedure is also provided. This method comprises the steps of bisecting a targeted spinous process, providing hinge cuts on both adjacent lamina sufficient to allow the spinous process segments to be spread apart, separating the spinous process segments to allow for insertion of an appropriately sized allograft implant, providing an allograft implant having first and second angled bone engaging surfaces which approximate the angle between the bisected and spread spinous process segment cut surfaces, the allograft implant comprising cancellous bone material having a thin outer layer of cortical bone surrounding the cancellous bone, and which cortical bone layer is in communication with the first and second engaging surfaces so as to support the compressive stresses imparted by the cut spinous process segments.

A method of using only a screwed plate to maintain the distance between bone ends produced during a unilateral or bilateral laminoplasty procedure is also provided and described. This method comprises the steps of cutting a

targeted lamina or spinous process as required for the respective laminoplasty procedure, separating the cut bone segments to increase the space available for the spinal canal and associated nerves, providing an appropriately sized plate having first and second ends, wherein each end is configured to allow engagement with the surface of the lamina opposite the surface of the spinal canal and adjacent the cut bone end, and securing first and second ends of the plate to the adjacent bone segments.

In a preferred embodiment of the method, each first and second end of the plate will have at least one recess suitable for receiving a bone screw, wherein the plate is secured to the adjacent cut bone ends using bone screws. In a further embodiment, two plates may be provided to attach to the adjacent cut bone ends.

Accordingly, it should be understood that the embodiments disclosed herein are merely illustrative of the principles of the invention. Various other modifications may be made by those skilled in the art which will embody the principles of the invention and fall within the spirit and the scope thereof.

20

THE CLAIMS

1. An implant for use in maintaining a desired distance between first and second bisected bone ends of the spinal column, said implant comprising:
  - 5 (a) a body portion having a length and configured to be insertable between first and second bone ends, the body portion having an outer surface, and an inner surface defining a substantially hollow portion, the body portion further having an inner side region having an inner side length, and first and second ends which communicate with said hollow portion, the first and second ends comprising bone engaging portions, the first and second ends comprising bone engaging portions, at least one of the bone engaging portions comprises surface projections to reduce slippage between the bone engaging portions and the respective said bone segment,
- 15 wherein said bone engaging portions are angled with respect to each other.
2. The implant of Claim 1 wherein the intersection between the inner side region and each of the bone engaging portions comprises an angle.
- 20 3. The implant of Claim 2 wherein the angle ranges from about 50 to about 70 degrees, and the inner side length ranges from about 6 to about 10 millimeters.
4. The implant of any one of the preceding Claims 1 - 3 wherein the perimeter of the outer surface of the implant is a substantially geometric shape.
- 25 5. The implant of Claim 4 wherein the geometric shape is an ellipse having a width and a depth.
6. The implant of Claim 5 wherein the width ranges from about 10.0 to about 11.5 millimeters and the depth ranges from about 6.5 to about 7.5 millimeters
- 30 7. The implant of Claims 4 or 5 wherein the geometric shape is a circle.
8. The implant of any one of the preceding Claims 1 - 7, wherein the surface
- 35

projections comprise saw tooth ridges.

- 5
9. The implant of any one of Claims 1 - 7 wherein the surface projections comprise individual pyramidal teeth.
10. The implant of any one of the preceding Claims 1 - 9, wherein each of the first and second ends further comprises a channel configured to accept the arms of a pair of distractor pliers.
- 10 11. The implant of any one of the preceding Claims 1 - 10 wherein the body portion further comprises at least one hollow suture attachment portion to enable a surgeon to secure the implant to at least one of said first and second bone segments.
- 15 12. The implant of any one of the preceding Claims 1 - 11 wherein at least a portion of the implant is formed of a bone allograft material.
13. The implant of any one of the preceding Claims 1-12 wherein at least one of the first and second bone engaging portions is comprised of demineralized bone.
- 20 14. The implant of Claims 12 or 13 wherein said inner surface is defined by the intermedullary canal of the donor bone.
- 25 15. The implant of any one of Claims 12 - 14 wherein said inner surface is configured such that the volume of said substantially hollow portion is greater than the intermedullary canal of the donor bone.
16. The implant of any one of Claims 1 - 11 wherein at least a portion of the implant is fabricated of biocompatible metal.
- 30 17. The implant of any one of Claims 1 - 11 wherein at least a portion of the implant is fabricated of biocompatible polymer.
- 35 18. An implant for use in the spinal column, the implant comprising:  
(a) a body portion having a width, a depth, an inner side region



comprising an inner side length and configured to be insertable  
between first and second bone segments, the body portion having first  
and second ends, at least one of the first and second ends comprising a  
bone engaging portion to engage at least one of the first and second  
5 bone segments,  
wherein the implant formed of bone allograft material, and at least one of the first  
and second bone engaging portions is comprised of a demineralized allograft  
material.

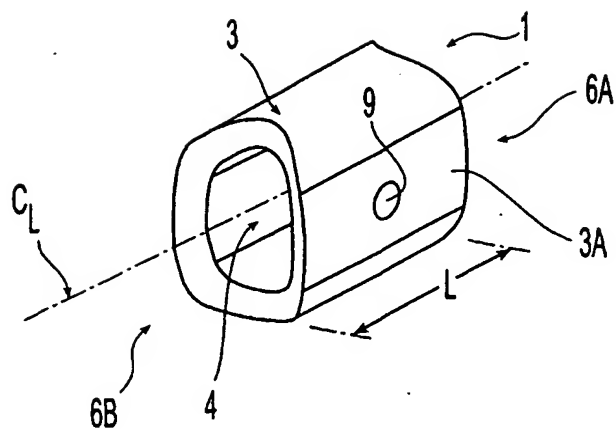
- 10 19. The implant of Claim 18 wherein the body portion further comprises a wall  
having an outer surface, and an inner surface defining a substantially hollow  
portion, wherein the hollow portion is in communication with the first and  
second ends.
- 15 20. The implant of Claim 19 wherein said hollow portion is defined by the  
intermedullary canal of the donor bone.
- 20 21. The implant of Claims 19 or 20 wherein said inner surface is configured  
such that the volume of said substantially hollow portion is greater than the  
intermedullary canal of the donor bone.
- 25 22. The implant of any one of the preceding Claims 18 - 21 wherein the at least  
one of said first and second bone engaging portions further comprises surface  
projections configured to retain said implant within the first and second bone  
segments.
- 30 23. The implant of Claim 22 wherein the surface projections comprise saw-tooth  
ridges.
24. The implant of Claim 22 wherein the surface projections comprise individual  
pyramidal teeth.
- 35 25. The implant of any one of Claims 18 - 24 wherein intersection between the  
inner side region and each of the first and second bone engaging portions  
comprises an angle.

26. The implant of Claim 25 wherein the angle ranges from about 50 to about 70 degrees, the inner side length ranges from about 6 to about 10 millimeters, the width ranges from about 10 millimeters to about 11.5 millimeters, and the depth ranges from about 6.5 millimeters to about 7.7 millimeters.
27. An implant for use in the spinal column, said implant comprising:  
a body portion formed of allograft bone material having an inner side having an inner side length, and configured to be insertable between first and second bone segments of the spine, the body portion having first and second ends, at least one of the first and second ends comprising a bone engaging portion to engage at least one of the first and second bone segments, the at least one bone engaging portion further comprising an outer shell portion substantially surrounding a center region,  
wherein the outer shell portion is cortical bone and the center region is cancellous bone.
28. The implant of Claim 27 wherein at least one of the bone engaging portions comprises surface projections to reduce slippage between the bone engaging portions and the respective said bone segment.
29. The implant of Claim 28 wherein the surface projections comprise saw tooth ridges.
30. The implant of Claim 28, wherein the surface projections comprise individual pyramidal teeth.
31. The implant of any one of the preceding Claims 27 - 30 wherein at least one of the first and second bone engaging faces is comprised of demineralized bone.
32. The implant of any one of Claims 27 - 30 wherein the intersection between the inner side and the at least one bone engaging portion comprises an angle.
33. The implant of Claim 32 wherein the angle ranges from about 50 to about 70 degrees, and the inner side length ranges from about 6 to about 10 millimeters.

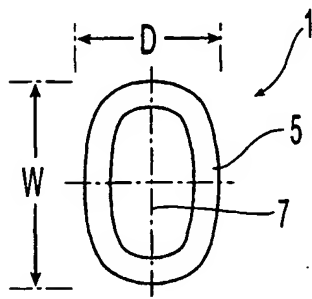
34. The implant of any one of the preceding Claims 27 - 33 wherein the body portion further comprises a hollow suture attachment portion to enable a surgeon to secure the implant to at least one of said first and second bone segments.
35. An implant for use in the spinal column, said implant comprising:  
(a) first and second plates connected by an intermediate portion whose thickness is smaller than the height of the first and second plates, the first and second plates comprising bone engaging portions for engaging first and second bone segments produced during a laminoplasty procedure, the first and second bone engaging portions being angled with respect to each other, wherein the implant is configured to be insertable between first and second bone segments produced during a laminoplasty procedure.
36. The implant of Claim 36 wherein the first and second plates and the intermediate portion form a substantially U-shaped implant.
37. The implant of Claim 36 wherein the intermediate portion further comprises a hollow suture attachment portion.
38. The implant of any one of the preceding Claims 35 - 37 wherein at least a portion of the implant is comprised of a biocompatible metal.
39. The implant of any one of Claims 35 - 37 wherein at least a portion of the implant is comprised of a biocompatible polymer.
40. The implant of any of the preceding Claims 35 - 39 wherein at least one of the first and second bone engaging portions comprises surface projections to reduce slippage between the bone engaging portions and the respective said bone segment.
41. The implant of Claim 40 wherein the surface projections comprise saw tooth ridges.

42. The implant of Claim 40, wherein the surface projections comprise individual pyramidal teeth.
- 5 43. The implant of any one of Claims 35 - 42 wherein at least a portion of the implant is comprised of cortical bone allograft.
44. The implant of any one of Claims 35 - 43 wherein at least one of the bone engaging portions are comprised of demineralized bone.

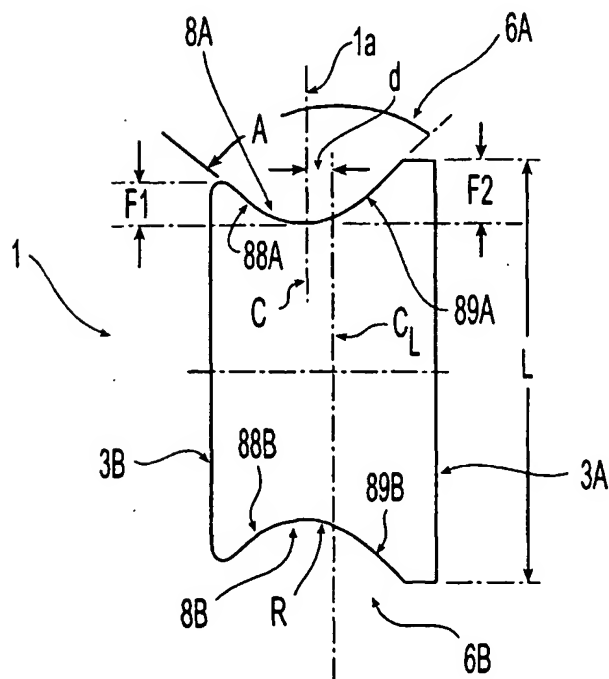
10



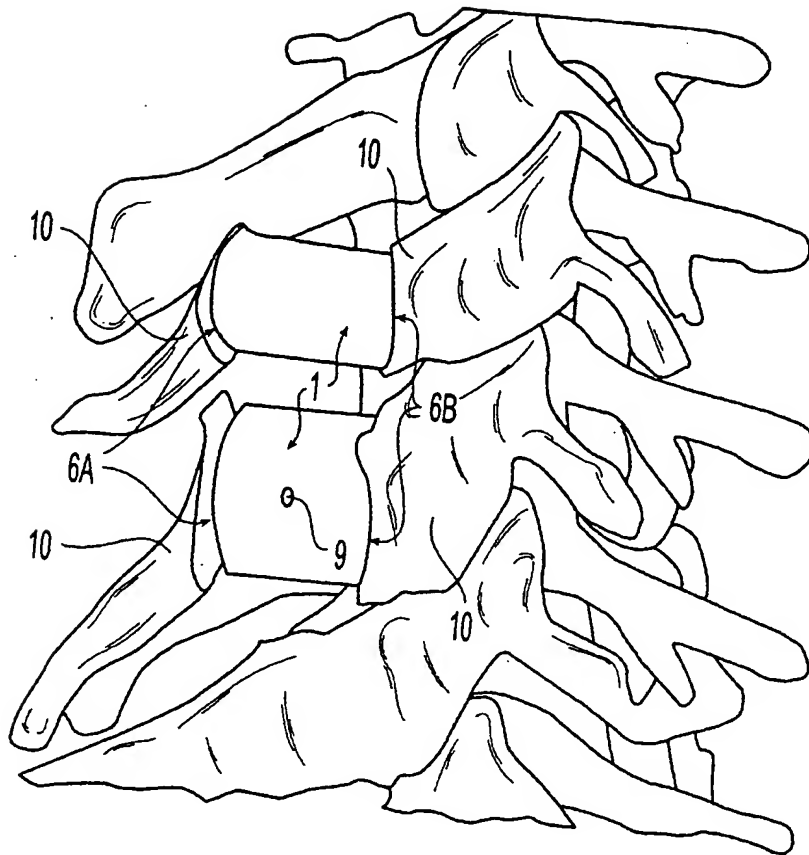
*Fig. 1A*



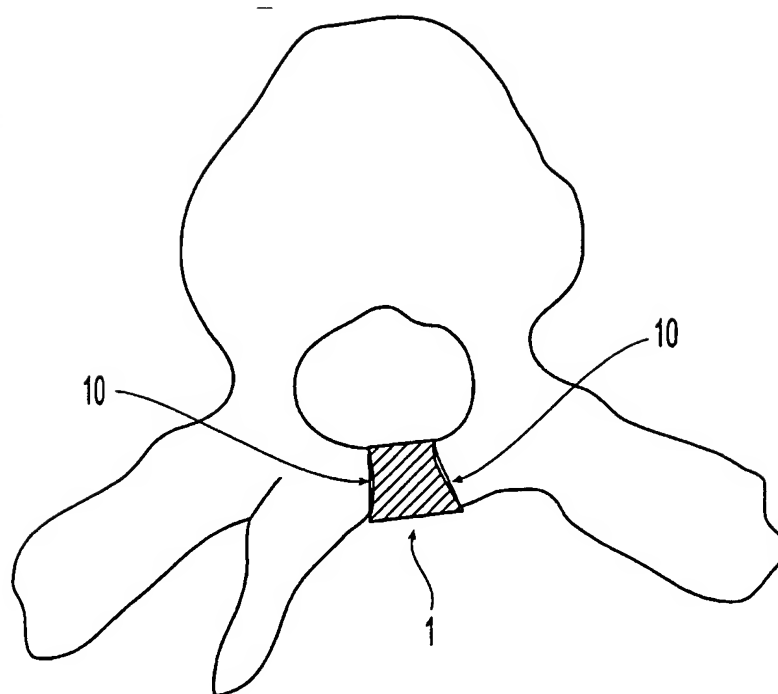
*Fig. 1B*



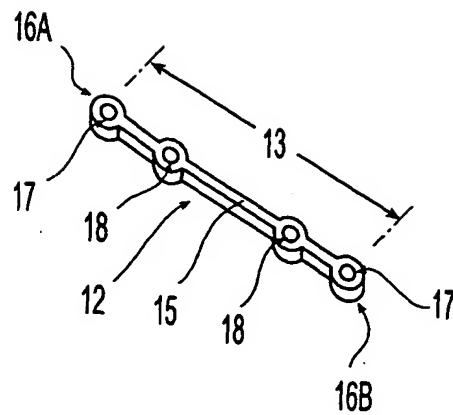
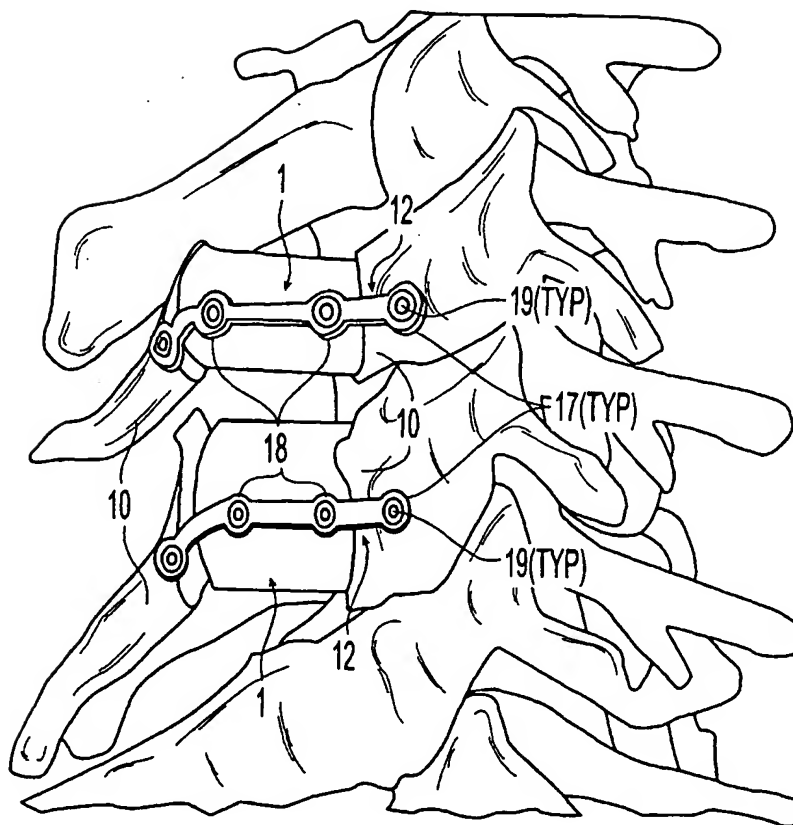
*Fig. 1C*



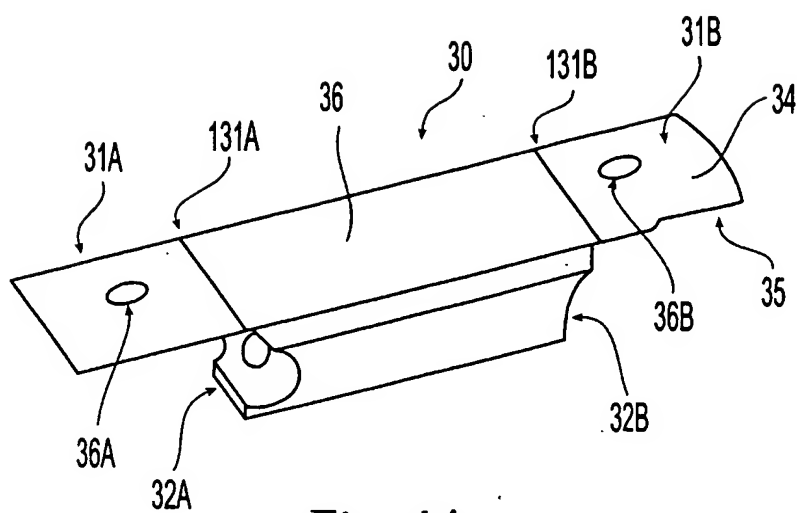
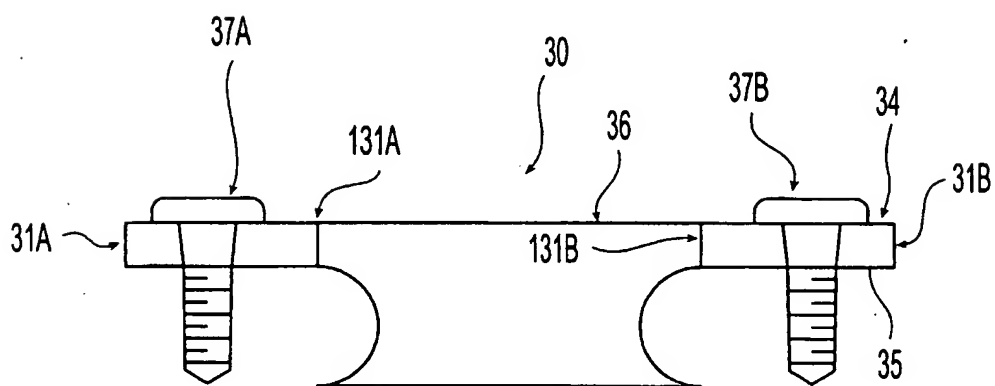
*Fig. 2A*

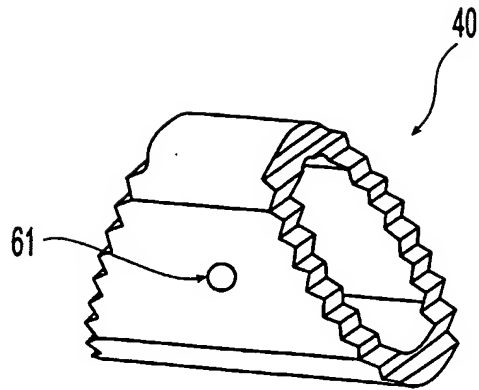


*Fig. 2B*

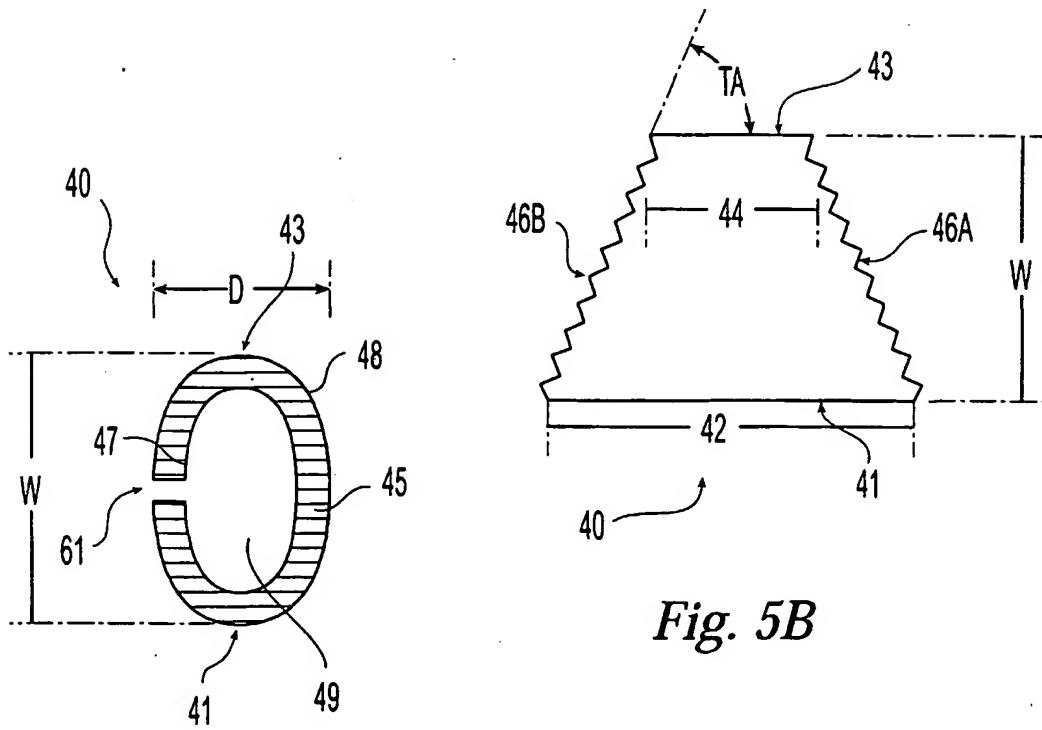
*Fig. 3A**Fig. 3B*



*Fig. 4A**Fig. 4B*

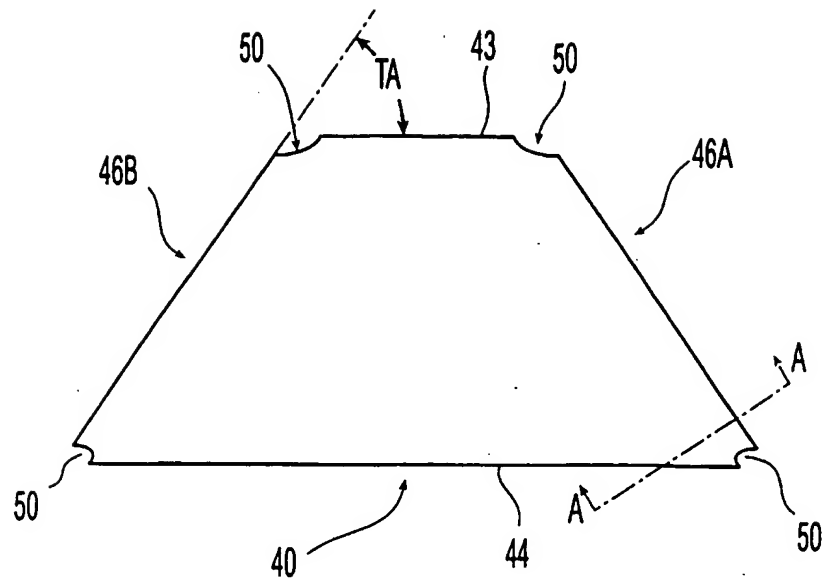
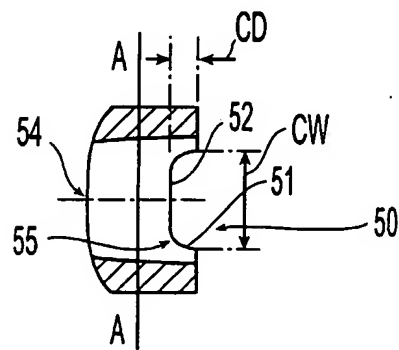
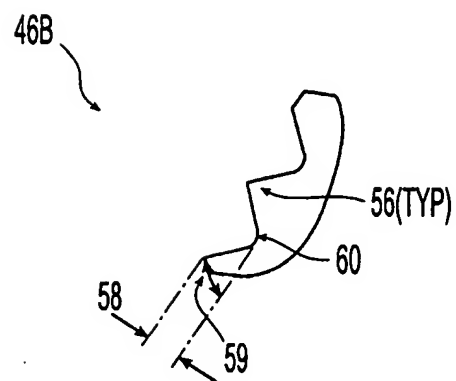


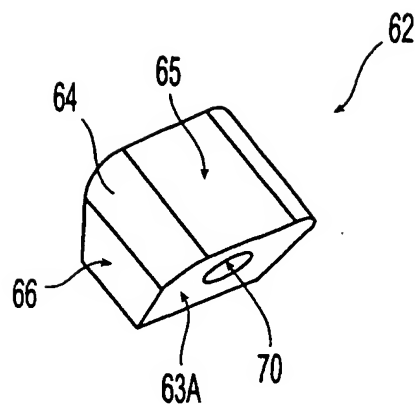
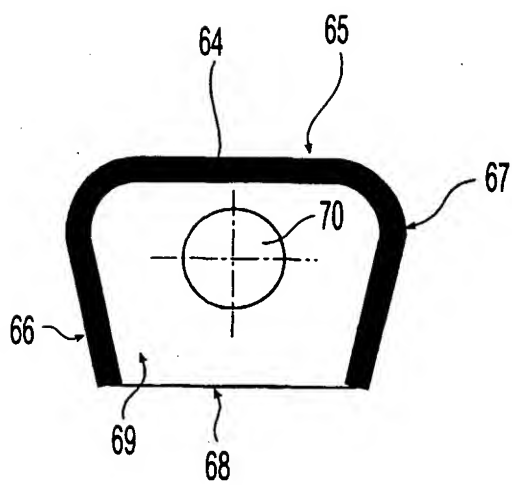
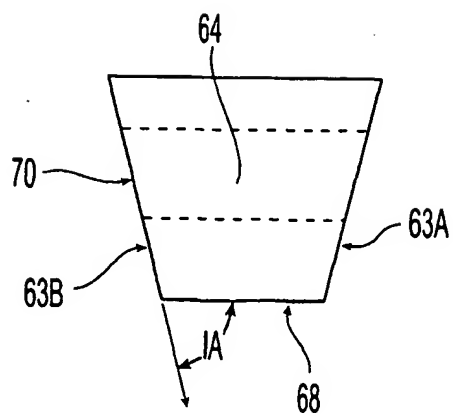
*Fig. 5A*

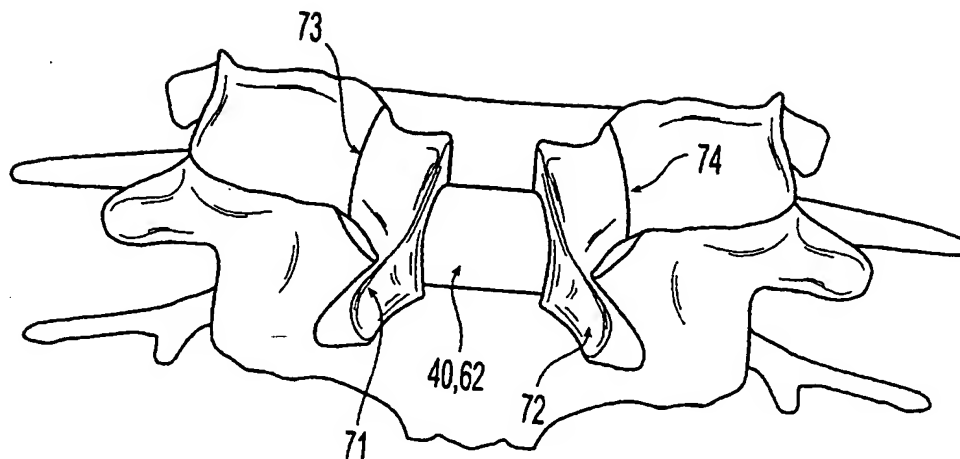


*Fig. 5B*

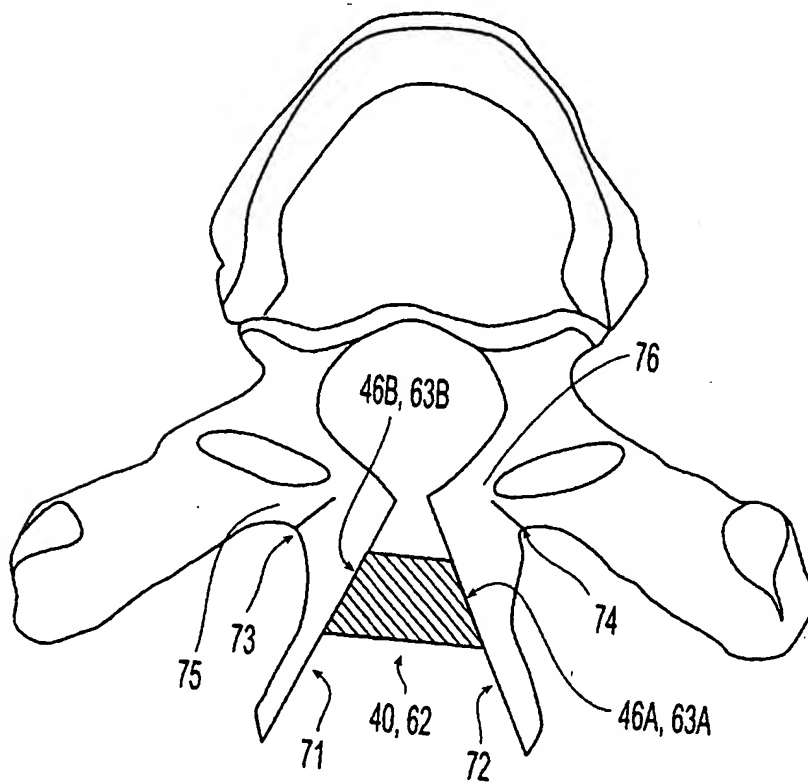
*Fig. 5C*

*Fig. 6A**Fig. 6B**Fig. 7*

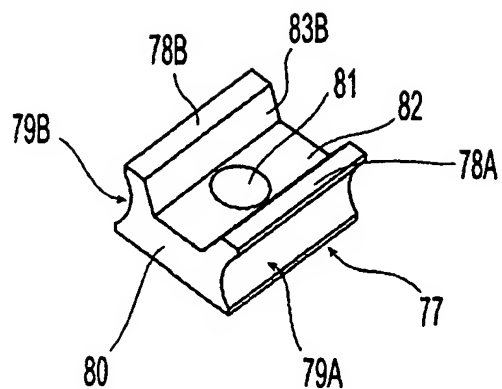
*Fig. 8A**Fig. 8B**Fig. 8C*



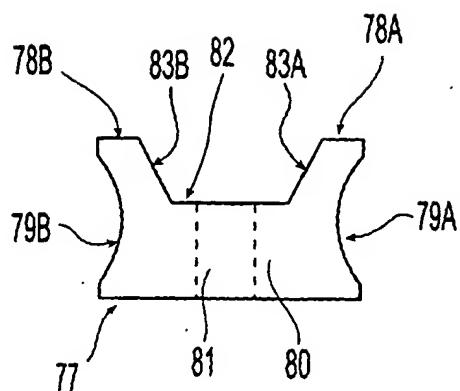
*Fig. 9a*



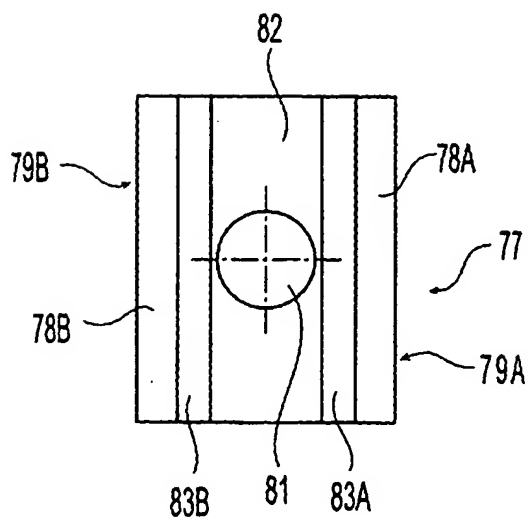
*Fig. 9b*



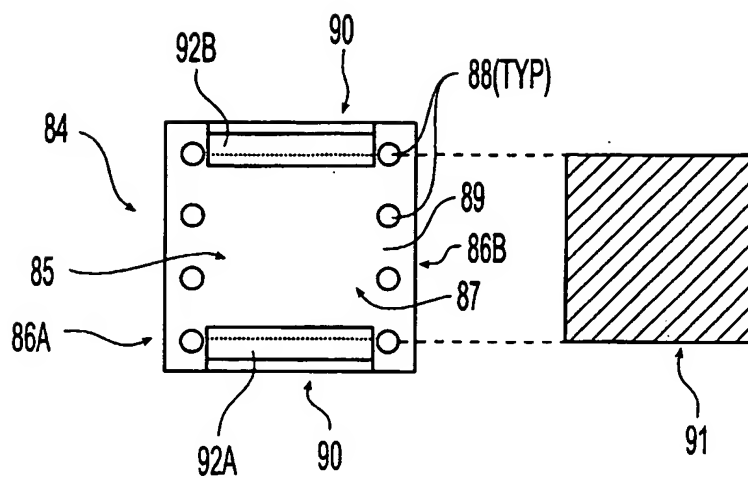
*Fig. 10A*



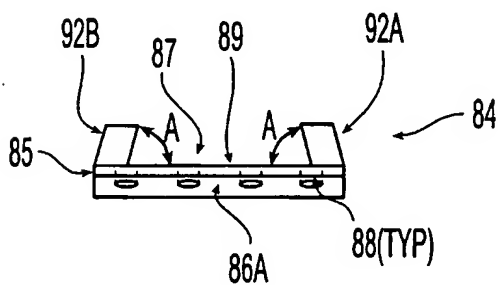
*Fig. 10B*



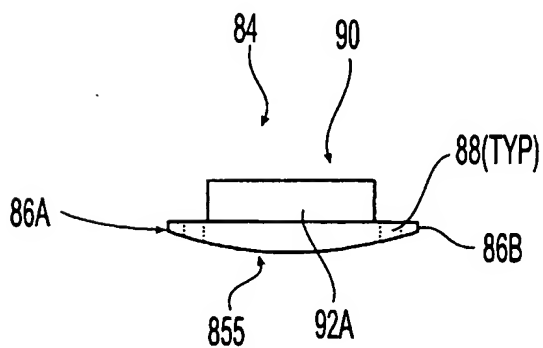
*Fig. 10C*



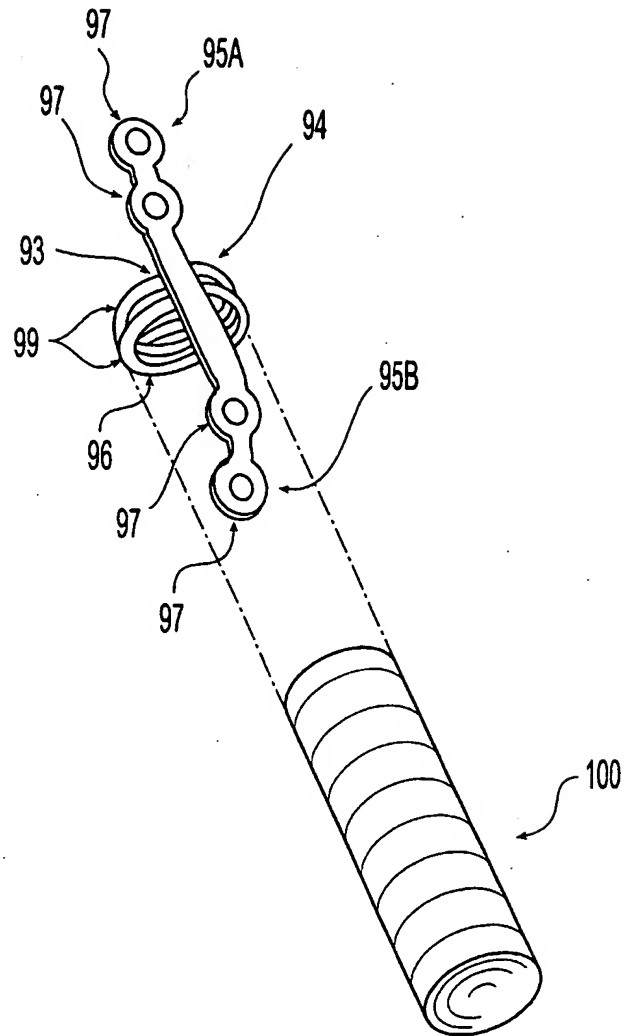
*Fig. 11A*



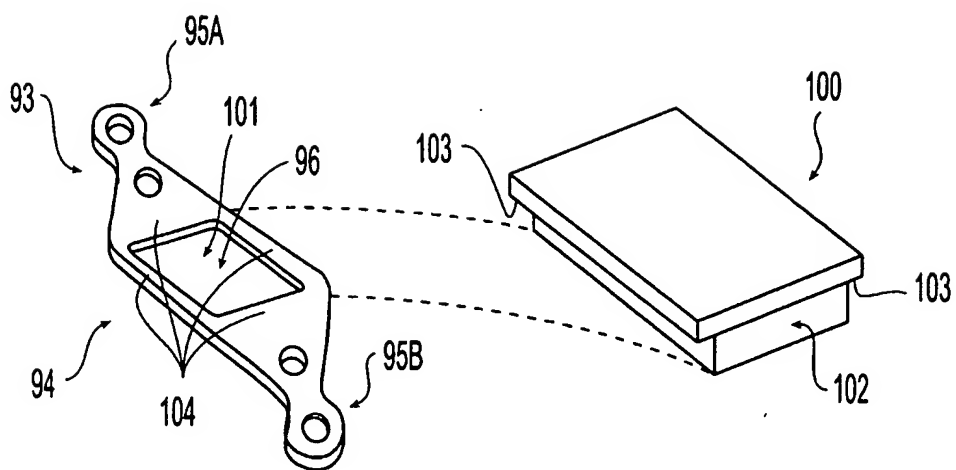
*Fig. 11B*



*Fig. 11C*

*Fig. 12A*





*Fig. 12B*

# INTERNATIONAL SEARCH REPORT

Inte Application No  
PCT/US 02/27359

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 A61B17/70 A61F2/44

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61B A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EP0-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 277 149 B1 (MORRIS JOHN W ET AL) 21 August 2001 (2001-08-21)	1,2,4, 7-9, 12-14, 16-20, 22-25
Y	column 4, line 4 -column 5, line 30 column 6, line 27 - line 30 figures 1,10	15,27-32
X	US 6 143 033 A (BAKER KELLY J ET AL) 7 November 2000 (2000-11-07)	1,2,4,5, 9,10,12, 14
	column 2, line 64 -column 3, line 23 column 3, line 50 - line 54 column 4, line 8 - line 25 figures 1.4,6,7 --- -/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "A" document member of the same patent family

Date of the actual completion of the international search

21 January 2003

Date of mailing of the international search report

29. 01. 03

Name and mailing address of the ISA

European Patent Office, P.B. 5618 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Storer, J

## INTERNATIONAL SEARCH REPORT

Int

Application No

PCT/US 02/27359

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 989 289 A (HOECK JAMES VAN ET AL) 23 November 1999 (1999-11-23)	18-23
Y	column 6, line 34 - line 39 column 11, line 42 - line 61 figures 10-12,17-19 ---	15
X	US 6 200 347 B1 (WOLFINBARGER JR LLOYD ET AL) 13 March 2001 (2001-03-13) --- abstract column 3, line 21 - line 23 column 20, line 23 - line 36 figures 7,13 ---	18,22, 23,25, 35, 38-41, 43,44
X	DE 94 13 778 U (SCHAEFER MICOMED GMBH) 4 January 1996 (1996-01-04)	35,40,41
Y	page 8, line 1-3 page 9, line 4 - line 8 figures 1,2,4 ---	27-32
P,X	WO 01 70145 A (ELECTRO BIOLOGY INC ;BAILEY KIRK J (US); STRNAD LEE A (US)) 27 September 2001 (2001-09-27) abstract -----	35,40,42

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 02/27359

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☒ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☒ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-34

1.1. Claims: 1-17

An implant for use in maintaining a desired distance between first and second bisected bone ends of the spinal column comprising a body portion having an inner side length and an inner surface defining a substantially hollow portion, the body portion having first and second ends comprising bone engaging portions which are angled with respect to each other.

1.2. Claims: 18-26

An implant for use in the spinal column comprising a body portion having an inner side length and first and second ends, at least one of which first and second ends comprising a bone engaging portion, wherein the implant is formed of bone allograft material and at least one of the first and second bone engaging portions is comprised of demineralised allograft material.

1.3. Claims: 27-34

An implant for use in the spinal column comprising a body portion formed of allograft bone material having an inner side length and first and second ends, at least one of which first and second ends comprising a bone engaging portion comprising an outer shell portion surrounding a centre region, wherein the outer shell portion is cortical bone and the centre region is cancellous bone

2. Claims: 35-44

An implant for use in the spinal column comprising first and second plates connected by an intermediate portion whose thickness is smaller than the height of the first and second plates, the first and second plates comprising bone engaging portions being angled with respect to each other.

Please note that all inventions mentioned under item 1, although not necessarily linked by a common inventive concept, could be searched without effort justifying an additional fee.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 02/27359

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 6277149	B1	21-08-2001	AU 5329700 A 28-12-2000
		EP 1204386 A1 15-05-2002	
		WO 0074608 A1 14-12-2000	
		US 2002026242 A1 28-02-2002	
US 6143033	A	07-11-2000	US 2001049560 A1 06-12-2001
		CA 2319622 A1 05-08-1999	
		WO 9938461 A2 05-08-1999	
		EP 1051134 A2 15-11-2000	
		JP 2002501782 T 22-01-2002	
US 5989289	A	23-11-1999	US 6423095 B1 23-07-2002
		AU 732421 B2 26-04-2001	
		AU 4994697 A 15-05-1998	
		EP 0955961 A2 17-11-1999	
		JP 2000507484 T 20-06-2000	
		KR 2000052740 A 25-08-2000	
		WO 9817209 A2 30-04-1998	
		US 6371988 B1 16-04-2002	
		US 5888222 A 30-03-1999	
		AU 7394996 A 07-05-1997	
		EP 0855887 A2 05-08-1998	
		WO 9714378 A2 24-04-1997	
		AU 7394496 A 07-05-1997	
		EP 0855886 A1 05-08-1998	
		WO 9714377 A1 24-04-1997	
		US 6066174 A 23-05-2000	
		US 5782830 A 21-07-1998	
		ZA 9608726 A 21-05-1997	
		ZA 9608727 A 21-05-1997	
US 6200347	B1	13-03-2001	EP 1148847 A1 31-10-2001
		WO 0040177 A1 13-07-2000	
		US 6458158 B1 01-10-2002	
DE 9413778	U	04-01-1996	DE 9413778 U1 04-01-1996
		DE 4432033 A1 29-02-1996	
WO 0170145	A	27-09-2001	US 6296665 B1 02-10-2001
		AU 4590401 A 03-10-2001	
		EP 1265563 A1 18-12-2002	
		WO 0170145 A1 27-09-2001	

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**